

# Properties of the Effective Hamiltonian for the System of Neutral Kaons\*

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## Abstract

We study the properties of time evolution of the  $K^0 - \bar{K}^0$  system in spectral formulation. Within the one-pole model we find the exact form of the diagonal matrix elements of the effective Hamiltonian for this system. It appears that, contrary to the Lee-Oehme-Yang (LOY) result, these exact diagonal matrix elements are different if the total system is CPT-invariant but CP-noninvariant.

## 1 Introduction

Following the LOY approach, a nonhermitian Hamiltonian  $H_{\parallel}$  is usually used to study the properties of the particle-antiparticle unstable system [2] - [6]

$$H_{\parallel} \equiv M - \frac{i}{2}\Gamma, \quad (1)$$

where

$$M = M^+ , \quad \Gamma = \Gamma^+ \quad (2)$$

are  $(2 \times 2)$  matrices acting in a two-dimensional subspace  $\mathcal{H}_{\parallel}$  of the total state space  $\mathcal{H}$ . The  $M$ -matrix is called the mass matrix and  $\Gamma$  is the decay matrix. Lee, Oehme and Yang derived their approximate effective Hamiltonian  $H_{\parallel} \equiv H_{LOY}$  by adapting the one-dimensional Weisskopf-Wigner (WW) method to the two-dimensional case corresponding to the neutral kaon system.

Almost all properties of this system can be described by solving the Schrödinger-like equation [2] - [5]

$$i \frac{\partial}{\partial t} |\psi; t\rangle_{\parallel} = H_{\parallel} |\psi; t\rangle_{\parallel}, \quad (t \geq t_0 > -\infty) \quad (3)$$

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(where we have used  $\hbar = c = 1$ ) with the initial conditions

$$\| |\psi; t = t_0\rangle_{\parallel} \| = 1, \quad |\psi; t_0 = 0\rangle_{\parallel} = 0, \quad (4)$$

for  $|\psi; t = t_0\rangle_{\parallel}$  belonging to the subspace of states  $\mathcal{H}_{\parallel}$  ( $\mathcal{H}_{\parallel} \subset \mathcal{H}$ ), spanned by, e.g., orthonormal neutral kaons states  $K^0$  and  $\bar{K}^0$ . The solutions of Eq. (3) may be written in a matrix form, which may be used to define the time evolution operator  $U_{\parallel}(t)$  acting in subspace  $\mathcal{H}_{\parallel}$

$$|\psi; t\rangle_{\parallel} = U_{\parallel}(t)|\psi; t_0 = 0\rangle_{\parallel} \equiv U_{\parallel}(t)|\psi\rangle_{\parallel}, \quad (5)$$

where

$$|\psi\rangle_{\parallel} \equiv a_1|\mathbf{1}\rangle + a_2|\mathbf{2}\rangle \quad (6)$$

and  $|\mathbf{1}\rangle$  denotes particle "1" – in the present case  $|K^0\rangle$  whereas  $|\mathbf{2}\rangle$  corresponds to the antiparticle state for particle "1":  $|\bar{K}^0\rangle$ ,  $\langle \mathbf{j} | \mathbf{k} \rangle = \delta_{jk}$ ,  $j, k = 1, 2$ . It is usually assumed that the real parts of the diagonal matrix elements of  $H_{\parallel}$ , namely  $\Re(\cdot)$ ,

$$\Re(h_{jj}) \equiv M_{jj} \quad (j = 1, 2), \quad (7)$$

where

$$h_{jk} = \langle \mathbf{j} | H_{\parallel} | \mathbf{k} \rangle \quad (j, k = 1, 2) \quad (8)$$

correspond to the masses of the particle "1" and its antiparticle "2" [2] - [6].  $\Im(\cdot)$  is the imaginary part of  $h_{jj}$

$$\Im(h_{jj}) \equiv \Gamma_{jj} \quad (j = 1, 2) \quad (9)$$

and  $\Gamma_{jj}$  are interpreted as the decay widths of the particles. According to the standard result of the LOY approach, in a CPT invariant system, i.e. when

$$\Theta H \Theta^{-1} = H, \quad (10)$$

(where  $\Theta = CPT$ ,  $H = H^+$  is the Hamiltonian of the total system under consideration)

we have

$$h_{11}^{LOY} = h_{22}^{LOY}. \quad (11)$$

The universal properties of the unstable particle-antiparticle subsystem described by the  $H$  fulfilling the condition (10), may be investigated by using the matrix elements of the exact  $U_{\parallel}$ , instead of the approximate one used in the LOY theory. The exact  $U_{\parallel}$  can be written as follows

$$U_{\parallel}(t) = P U(t) P, \quad (12)$$

where

$$P \equiv |\mathbf{1}\rangle\langle\mathbf{1}| + |\mathbf{2}\rangle\langle\mathbf{2}|, \quad (13)$$

and  $U(t)$  is the exact evolution operator acting in the whole state space. This operator is the solution of the Schrödinger equation

$$i \frac{\partial}{\partial t} U(t) |\phi\rangle = H U(t) |\phi\rangle, \quad U(0) = I. \quad (14)$$

$I$  is the unit operator in the  $\mathcal{H}$  space and  $|\phi\rangle \equiv |\phi; t_0 = 0\rangle \in \mathcal{H}$  is the initial state of the system.

In the remaining part of the poster we will be using the following matrix representation of the evolution operator

$$U_{\parallel}(t) \equiv \begin{pmatrix} \mathbf{A}(t) & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{pmatrix}, \quad (15)$$

where  $\mathbf{0}$  denotes the zero submatrices of the suitable dimension, and the  $\mathbf{A}(t)$  is a  $(2 \times 2)$  matrix acting in  $\mathcal{H}_{\parallel}$

$$\mathbf{A}(t) = \begin{pmatrix} A_{11}(t) & A_{12}(t) \\ A_{21}(t) & A_{22}(t) \end{pmatrix}, \quad (16)$$

where

$$A_{jk}(t) = \langle \mathbf{j} | U_{\parallel}(t) | \mathbf{k} \rangle \equiv \langle \mathbf{j} | U(t) | \mathbf{k} \rangle \quad (j, k = 1, 2). \quad (17)$$

Assuming that the property (10) holds and using the following definitions

$$\Theta|\mathbf{1}\rangle \equiv e^{-i\theta}|\mathbf{2}\rangle, \quad \Theta|\mathbf{2}\rangle \equiv e^{-i\theta}|\mathbf{1}\rangle, \quad (18)$$

it can be shown that

$$A_{11}(t) = A_{22}(t). \quad (19)$$

A very important relation between the amplitudes  $A_{12}(t)$  and  $A_{21}(t)$  follows from the famous Khalfin Theorem [7] - [9]

$$r(t) \equiv \frac{A_{12}(t)}{A_{21}(t)} = \text{const} \equiv r \quad \Rightarrow \quad |r| = 1. \quad (20)$$

General conclusions concerning the properties of the matrix elements of  $H_{\parallel}$  can be drawn by analyzing the following identity [4, 11]

$$H_{\parallel}(t) \equiv i \frac{\partial \mathbf{A}(t)}{\partial t} [\mathbf{A}(t)]^{-1}. \quad (21)$$

Using Eq. (21) we can easily find the general formulae for the diagonal matrix elements  $h_{jj}$ , of  $H_{\parallel}(t)$  and next assuming (10) and using relation (19) which follows from our earlier assumptions, we get

$$h_{11}(t) - h_{22}(t) = \frac{i}{\det \mathbf{A}(t)} \left( \frac{\partial A_{21}(t)}{\partial t} A_{12}(t) - \frac{\partial A_{12}(t)}{\partial t} A_{21}(t) \right). \quad (22)$$

In [11] it was shown, by using relation (22), that this result means that in the considered case (with CPT conserved) for  $t > 0$  we get the following theorem

$$h_{11}(t) - h_{22}(t) = 0 \quad \Leftrightarrow \quad \frac{A_{12}(t)}{A_{21}(t)} = \text{const} \quad (t > 0). \quad (23)$$

Thus, for  $t > 0$  the problem under study is reduced to the Khalfin Theorem (see relation (20)) [11].

Having noticed this, let us now turn our attention to the conclusions following from Khalfin's Theorem.  $CP$  noninvariance requires that  $|r| \neq 1$  [2, 3, 4, 5, 7, 9, 12, 13]. This means that in this case the following condition must be fulfilled:  $r = r(t) \neq \text{const}$ . Consequently, if in the considered system property (10) holds, but at the same time

$$[\mathcal{CP}, H] \neq 0 \quad (24)$$

and the unstable states "1" i "2" are connected by (18), then in this system for  $t > 0$  [11]

$$h_{11}(t) - h_{22}(t) \neq 0. \quad (25)$$

So, in the exact quantum theory the difference  $(h_{11}(t) - h_{22}(t))$  cannot be equal to zero with CPT conserved and CP violated.

## 2 A model: one pole approximation

While describing the two and three pion decay we are mostly interested in the  $|K_S\rangle$  and  $|K_L\rangle$  superposition of  $|K^0\rangle$  and  $|\bar{K}^0\rangle$ . These states correspond to the physical  $|K_S\rangle$  and  $|K_L\rangle$  neutral kaon states [13, 14]

$$|K_S\rangle = p|K^0\rangle + q|\bar{K}^0\rangle, \quad |K_L\rangle = p|K^0\rangle - q|\bar{K}^0\rangle. \quad (26)$$

Using the spectral formalism we can write an unstable state  $|\lambda(t)\rangle$  as

$$|\lambda(t)\rangle = \sum_q |q(t)\rangle \omega_\lambda(q), \quad (27)$$

where  $|q(t)\rangle = e^{-itH}|q\rangle$ , vectors  $|q\rangle$  form a complete set of eigenvectors of the hermitian, quantum-mechanical Hamiltonian  $H$  and  $\omega_\lambda(q) = \langle q|\lambda\rangle$ . If the continuous eigenvalue is denoted by  $m$ , we can define the survival amplitude  $A(t)$  (or the transition amplitude in the case of  $K^0 \leftrightarrow \bar{K}^0$ ) in the following way:

$$A(t) = \int_{\text{Spec}(H)} dm e^{-imt} \rho(m), \quad (28)$$

where the integral extends over the whole spectrum of the Hamiltonian and density  $\rho(m)$  is defined as follows

$$\rho(m) = |\omega_\lambda(m)|^2, \quad (29)$$

where  $\omega_\lambda(m) = \langle m|\lambda\rangle$ .

In accordance with formula (27) the unstable states  $K_S$  and  $K_L$  may now be written as a superposition of the eigenkets

$$|K_S\rangle = \int_0^\infty dm \sum_\alpha \omega_{S,\alpha}(m) |\phi_\alpha(m)\rangle; \quad (30)$$

$$|K_L\rangle = \int_0^\infty dm \sum_\beta \omega_{L,\beta}(m) |\phi_\beta(m)\rangle. \quad (31)$$

The Breit-Wigner ansatz [15]

$$\rho_{WB}(m) = \frac{\Gamma}{2\pi} \frac{1}{(m - m_0)^2 + \frac{\Gamma^2}{4}} \equiv |\omega(m)|^2 \quad (32)$$

leads to the well known exponential decay law which follows from the survival amplitude

$$A_{BW}(t) = \int_{-\infty}^{\infty} dm e^{-imt} \rho_{WB}(m) = e^{-im_0 t} e^{-\frac{1}{2}\Gamma|t|}. \quad (33)$$

(Note that the existence of the ground state induces non-exponential corrections to the decay law and to the survival amplitude (33) — see [13] ). It is therefore reasonable to assume a suitable form for  $\omega_{S,\beta}$  and  $\omega_{L,\beta}$ . More specifically, we write [13]

$$\omega_{S,\beta}(m) = \sqrt{\frac{\Gamma_S}{2\pi}} \frac{A_{S,\beta}(K_S \rightarrow \beta)}{m - m_S + i\frac{\Gamma_S}{2}}, \quad \omega_{L,\beta}(m) = \sqrt{\frac{\Gamma_L}{2\pi}} \frac{A_{L,\beta}(K_L \rightarrow \beta)}{m - m_L + i\frac{\Gamma_L}{2}} \quad (34)$$

where  $A_{S,\beta}$  and  $A_{L,\beta}$  are decay (transition) amplitudes, end thus

$$\rho_{x,\beta}(m) = \frac{\Gamma_x}{2\pi} \frac{(A_{x,\beta}(K_x \rightarrow \beta))^2}{(m - m_x)^2 + \frac{(\Gamma_x)^2}{4}}, \quad (35)$$

where  $x = L, S$ .

In the one-pole approximation (34)  $A_{K^0 \bar{K}^0}(t)$  can be conveniently written as

$$\begin{aligned} A_{K^0 \bar{K}^0}(t) &= A_{\bar{K}^0 \bar{K}^0}(t) = \\ &= -\frac{1}{2\pi} \left\{ e^{-im_S t} \left( -\int_0^{-\frac{m_S}{\gamma_S}} dy \frac{e^{-i\gamma_S t y}}{y^2 + 1} + \int_0^{\infty} dy \frac{e^{-i\gamma_S t y}}{y^2 + 1} \right) + \right. \\ &\quad \left. + e^{-im_L t} \left( -\int_0^{-\frac{m_L}{\gamma_L}} dy \frac{e^{-i\gamma_L t y}}{y^2 + 1} + \int_0^{\infty} dy \frac{e^{-i\gamma_L t y}}{y^2 + 1} \right) \right\}. \quad (36) \end{aligned}$$

Collecting only exponential terms in (36) one obtains an expression analogous to the WW approximation

$$A_{K^0 \bar{K}^0}(t) = A_{\bar{K}^0 \bar{K}^0}(t) = \frac{1}{2} (e^{-im_S t} e^{-\gamma_S t} + e^{-im_L t} e^{-\gamma_L t}) + N_{K^0 \bar{K}^0}(t). \quad (37)$$

Here  $N_{K^0 \bar{K}^0}(t)$  denotes all non-oscillatory terms present in the integral (36).

### 3 Diagonal matrix elements of the effective Hamiltonian

Using the decomposition of type (37) and the one-pole ansatz (34), we find the difference (25), which is now formulated for the  $K^0 - \bar{K}^0$  system. Here it has

the following form:

$$h_{11}(t) - h_{22}(t) = \frac{X(t)}{Y(t)}, \quad (38)$$

where

$$X(t) = i \left( \frac{\partial A_{\bar{K}^0 K^0}(t)}{\partial t} A_{K^0 \bar{K}^0}(t) - \frac{\partial A_{K^0 \bar{K}^0}(t)}{\partial t} A_{\bar{K}^0 K^0}(t) \right) \quad (39)$$

and

$$Y(t) = A_{K^0 K^0}(t) A_{\bar{K}^0 \bar{K}^0}(t) - A_{K^0 \bar{K}^0}(t) A_{\bar{K}^0 K^0}(t). \quad (40)$$

Using the above mentioned spectral formulae in the one - pole approximation (34) we get  $A_{K^0 \bar{K}^0}(t)$  and  $A_{\bar{K}^0 K^0}(t)$

$$\begin{aligned} A_{K^0 \bar{K}^0}(t) = & \frac{1 + \pi}{8\pi p^* q} \left\{ e^{-im_s t} e^{-\gamma_s t} \left[ 1 + \right. \right. \\ & + \frac{\sqrt{\gamma_S \gamma_L}}{\gamma_S} \left( -2i \gamma_S C_I + D'_I - F'_I \right) \left. \right] + \\ & + e^{-im_L t} e^{-\gamma_L t} \left[ -1 + \right. \\ & + \frac{\sqrt{\gamma_S \gamma_L}}{\gamma_L} \left( 2i \gamma_L C_I - D'_I + F'_I \right) \left. \right] \left. \right\} + \\ & + N_{K^0 \bar{K}^0}(t) \end{aligned} \quad (41)$$

and

$$\begin{aligned} A_{\bar{K}^0 K^0}(t) = & \frac{1 + \pi}{8\pi p q^*} \left\{ e^{-im_s t} e^{-\gamma_s t} \left[ 1 + \right. \right. \\ & + \frac{\sqrt{\gamma_S \gamma_L}}{\gamma_S} \left( 2i \gamma_S C_I - D'_I + F'_I \right) \left. \right] + \\ & + e^{-im_L t} e^{-\gamma_L t} \left[ -1 + \right. \\ & + \frac{\sqrt{\gamma_S \gamma_L}}{\gamma_L} \left( -2i \gamma_L C_I + D'_I - F'_I \right) \left. \right] \left. \right\} + \\ & + N_{\bar{K}^0 K^0}(t), \end{aligned} \quad (42)$$

where  $N_{K^0 \bar{K}^0}(t)$ ,  $N_{\bar{K}^0 K^0}(t)$  denotes all non-oscillatory terms and  $C_I, D'_I, F'_I$  are defined in [13].

Using the expression for the derivative of  $E_i$  we can find the derivatives which will be necessary for the following calculations  $\frac{\partial A_{K^0 \bar{K}^0}(t)}{\partial t}$  and  $\frac{\partial A_{\bar{K}^0 K^0}(t)}{\partial t}$  :

$$\begin{aligned} \frac{\partial A_{K^0 \bar{K}^0}(t)}{\partial t} = & \frac{1+\pi}{8\pi p^* q} \left\{ e^{-im_S t} e^{-\gamma_S t} \left[ -im_S - \gamma_S + \right. \right. \\ & + \sqrt{\gamma_S \gamma_L} \left( 2i\gamma_S C_I - D'_I + F'_I \right) \left. \right] + \\ & + e^{-im_L t} e^{-\gamma_L t} \left[ im_L - \gamma_L + \right. \\ & + \sqrt{\gamma_S \gamma_L} \left( -2i\gamma_L C_I + D'_I - F'_I \right) \left. \right] \left. \right\} + \\ & + \Delta N_{K^0 \bar{K}^0}(t) \end{aligned} \quad (43)$$

and

$$\begin{aligned} \frac{\partial A_{\bar{K}^0 K^0}(t)}{\partial t} = & \frac{1+\pi}{8\pi p q^*} \left\{ e^{-im_S t} e^{-\gamma_S t} \left[ -im_S - \gamma_S + \right. \right. \\ & + \sqrt{\gamma_S \gamma_L} \left( -2i\gamma_S C_I + D'_I - F'_I \right) \left. \right] + \\ & + e^{-im_L t} e^{-\gamma_L t} \left[ im_L - \gamma_L + \right. \\ & + \sqrt{\gamma_S \gamma_L} \left( 2i\gamma_L C_I - D'_I + F'_I \right) \left. \right] \left. \right\} + \\ & + \Delta N_{\bar{K}^0 K^0}(t), \end{aligned} \quad (44)$$

where  $\Delta N_{K^0 \bar{K}^0}(t)$ ,  $\Delta N_{\bar{K}^0 K^0}(t)$  denotes all non-oscillatory terms.

The states  $|K_L\rangle$  and  $|K_S\rangle$  are superpositions of  $|K^0\rangle$  and  $|\bar{K}^0\rangle$ . The lifetimes of particles  $|K_L\rangle$  and  $|K_S\rangle$  may be denoted by  $\tau_L$  and  $\tau_S$ , respectively,  $\tau_L = \frac{1}{\gamma_L} = 5,183 \cdot 10^{-8} s$  being much longer than  $\tau_S = \frac{1}{\gamma_S} = 0,8923 \cdot 10^{-10} s$ .

Below we calculate the difference (38) for  $t \sim \tau_L$

$$h_{11}(t \sim \tau_L) - h_{22}(t \sim \tau_L) = \frac{X(t \sim \tau_L)}{Y(t \sim \tau_L)}. \quad (45)$$

If we only consider the long living states  $|K_L\rangle$  we may drop all the terms containing  $e^{-\gamma_S t}|_{t \sim \tau_L}$  as they are negligible in comparison with elements involving the factor  $e^{-\gamma_L t}|_{t \sim \tau_L}$ . We also drop all the non-oscillatory terms  $N_{K^0 K^0}(t)$ ,  $N_{\bar{K}^0 K^0}(t)$ ,  $N_{K^0 \bar{K}^0}(t)$  present in  $A_{K^0 K^0}(t)$ ,  $A_{\bar{K}^0 K^0}(t)$  and  $A_{K^0 \bar{K}^0}(t)$ , that is in integrals (36), (41) and (42), because they are extremally small in the region of time  $t \sim \tau_L$  [13, 16, 17]. Similarly, because of the properties of the exponential integral function  $E_i$ , we can drop terms like  $\Delta N_{\bar{K}^0 K^0}$  and  $\Delta N_{K^0 \bar{K}^0}$  present

in  $\frac{\partial A_{\bar{K}^0 K^0}}{\partial t}$  (43) and  $\frac{\partial A_{K^0 \bar{K}^0}}{\partial t}$  (44). This conclusion follows from the asymptotic properties of the exponential integral function  $E_i$  and the fact that  $\Delta N_{\bar{K}^0 K^0}$ ,  $\Delta N_{K^0 \bar{K}^0}$  only contain expressions proportional to  $E_i$ .

We may now calculate the products  $A_{K^0 K^0}(t)A_{\bar{K}^0 \bar{K}^0}(t)$ ,  $A_{K^0 \bar{K}^0}(t)A_{\bar{K}^0 K^0}(t)$ ,  $\frac{\partial A_{\bar{K}^0 K^0}}{\partial t}(t)A_{K^0 \bar{K}^0}(t)$ ,  $\frac{\partial A_{K^0 \bar{K}^0}}{\partial t}(t)A_{\bar{K}^0 K^0}(t)$ , which, after using the above mentioned properties of  $N_{K^0 K^0}(t)$ ,  $\Delta N_{K^0 K^0}(t)$  and performing some algebraic transformations, leads to the following form of the difference (45):

$$h_{11}(t \sim \tau_L) - h_{22}(t \sim \tau_L) = \left( \frac{2\pi^2 \sqrt{\gamma_S \gamma_L}}{\pi^2 + 2\pi + 1} \right) \cdot \frac{Z}{W} \neq 0, \quad (46)$$

where

$$\begin{aligned} Z = & 4|p|^2|q|^2 - \frac{\pi^2 + 2\pi + 1}{4\pi^2} \left[ 1 + \right. \\ & + \gamma_S \left( 4\gamma_L C_I^2 + \frac{1}{\gamma_L} (-D_I'^2 - F_I'^2 + 4D_I' F_I') + \right. \\ & \left. \left. + 4iC_I(D_I' - F_I') \right) \right] \neq 0 \end{aligned} \quad (47)$$

$$\begin{aligned} W = & 2 \left( -C_I m_L + D_I' - F_I' \right) + \\ & + i \left[ -4C_I \gamma_L + \frac{m_L}{\gamma_L} \left( -D_I' + F_I' \right) \right] \neq 0. \end{aligned} \quad (48)$$

## 4 Final remarks

- Our results presented in the present poster have shown that in a CPT invariant and CP noninvariant system in the case of the exactly solvable one-pole model, the diagonal matrix elements do not have to be equal. In the general case the diagonal elements depend on time and their difference, for example at  $t \sim \tau_L$ , is different from zero. Z and W in (46) are different from zero, so the difference  $(h_{11}(t) - h_{22}(t))|_{t \sim \tau_L} \neq 0$ . From this observation a conclusion of major importance can be drawn, namely that the measurement of the mass difference  $(m_{K^0} - m_{\bar{K}^0})$  should not be used while designing CPT invariance tests. This runs counter to the general conclusions following from the Lee, Oehme and Yang theory.
- A detailed analysis of  $h_{jk}(t)$ ,  $(j, k = 1, 2)$  shows that the non-oscillatory elements  $N_{\alpha, \beta}(t)$ ,  $\Delta N_{\alpha, \beta}(t)$  (where  $\alpha, \beta = K^0, \bar{K}^0$ ) is the source of the non-zero difference  $(h_{11}(t) - h_{22}(t))$  in the model considered. It is not difficult to verify that dropping all the terms of  $N_{\alpha, \beta}(t)$ ,  $\Delta N_{\alpha, \beta}(t)$  type



in the formula for  $(h_{11}(t) - h_{22}(t))$  gives  $(h_{11}^{osc}(t) - h_{22}^{osc}(t)) = 0$ , where  $h_{jj}^{osc}(t)$ ,  $(j = 1, 2)$ , stands for  $h_{jj}(t)$  without the non-oscillatory terms.

- The result  $(h_{11}(t) - h_{22}(t)) \neq 0$  seems to be very important as it has been obtained within the exactly solvable one-pole model based on the Breit-Wigner ansatz, i.e. the same model as used by Lee, Oehme and Yang.

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